

11-16-07

EB846734720US
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Gerardo Castillo

Examiner: Royds, Leslie A.

Serial No.: 09/786,034

Group Art Unit: 1614

Filing Date: 04/23/2001

Attorney Docket No.: PROTEO.P07CI3

Title of Invention: Blended Compositions for Treatment of Alzheimer's Disease and Other Amyloidoses

Kirkland, Washington 98034
November 14, 2007

Mail Stop Assignment Recordation Services
Director of USPTO
PO Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Applicant herewith submits the following items for recording:

1. One (1) Recordation Form Cover Sheet PTO-1595 (1 page);
2. One (1) Assignment of Invention from University of Washington (1 page);
3. Please debit \$40.00 from Deposit Account #50-4423 for recordation fee.

Respectfully submitted,

Rebecca Eagen
Reg. No. L0386
PROTEOTECH, INC.
12040 115TH AVE N.E.
KIRKLAND, WA 98034

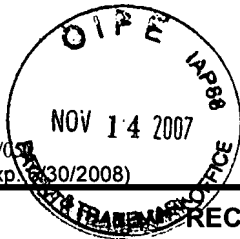
Tel. No. (425) 823-0400 x39

EXPRESS MAIL CERTIFICATE (37 C.F.R. § 1.10)

I hereby certify that this correspondence, together with all papers and fees listed as attached or enclosed, are being deposited on the date indicated herein with the United States Postal Service 'Express Mail Post Office to Addressee' service Express Mail #EB846734720US with sufficient postage, addressed to Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

November 14, 2007

Karen Duncan



RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

University of Washington

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 13 November 2007

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: ProteoTech, Inc.

Internal Address: _____

Street Address: 12040 115th AVE NE

City: Kirkland

State: WA

Country: USA Zip: 98034

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

09/786,034

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Rebecca Eagen

Internal Address: _____

Street Address: 12040 115th AVE NE

City: Kirkland

State: WA Zip: 98034

Phone Number: 425-823-0400 ext. 39

Fax Number: 425-823-8508

Email Address: eagen@proteotech.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 50-4423

Authorized User Name Rebecca Eagen

9. Signature:

Rebecca Eagen
Signature

Nov 14/07
Date

Rebecca Eagen Reg. No. L0386

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 2

ASSIGNMENT OF INVENTION

In consideration of payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), and for other good and valuable consideration, the receipt of which is hereby acknowledged,

ASSIGNOR, University of Washington,

a university doing business at 4311 11th Avenue N.E., Suite 500, Seattle, WA 98105 does hereby sell, assign and transfer to:

ASSIGNEE, ProteoTech, Inc.,

a corporation under the laws of Washington and doing business at 12040 115th Ave NE, Kirkland, WA 98034, and to the successors, assigns and legal representatives of the ASSIGNEE, ASSIGNOR's entire right, title and interest, whether now held or after acquired, for the United States and its territorial possessions, and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled:

Blended Compositions for Treatment of Alzheimer's Disease and other Amyloidoses

("the Invention"), which is found in U.S. patent application serial number 09/786,034 filed on 04/23/2001, by Gerardo Castillo and Alan D. Snow, inventors, and to any and all patents and patent applications as may be related to the Invention and owned by ASSIGNOR, now or hereafter filed, and in any legal equivalent thereof in a foreign country, including the right to claim priority, and the right to sue for past infringement, and in and to all Letters Patent to be obtained for said invention by the above application, and in and to any continuation, division, renewal, or substitute thereof, and, as to letters patent, any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes hereof.

IN WITNESS WHEREOF, I have hereunto set hand and seal this 13th day of November, 2007.

STATE OF WA)
)
COUNTY OF King)

Dennis Hanson

Dennis A. Hanson

On this 13th day of November, 2007, Dennis Hanson to me known to be the individual described in, and who executed, the foregoing instrument and acknowledged to me that he/she signed the same as his/her free and voluntary act and deed for the uses and purposes therein mentioned, personally appeared before me, the undersigned Notary Public in and for the State of WA duly commissioned and sworn.

WITNESS my hand and official seal hereto affixed this day and year first above written.

NOTARY PUBLIC in and for

the State of WA

residing at: King County

My Commission expires: 4/28/2009

